**PATENT** Date: December 27, 2001

VIA HAND DELVERY

Case Docket No. 36856.599

+ 260 TOTAL:

\$ \$ 740.00

BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor(s): Shigeto TAGA

☐ MULTIPLE

**DEP CLAIMS** PRESENTED

For: SURFACE ACOUSTIC WAVE DEVICE HAVING BUMP ELECTRODES

This is a □ CONTIN	IUING 🗷 DIVIS	SIONAL CO	NTINUATION-IN-PAF	RT of prior applicati	on Serial No. <u>09/5</u> 4	16,899, Filed April 1	0, 2000.	
Enclosed are:								
15 Pages of Specification 4_Sheet(s) of drawing (☒ formal ☐ informal) ☒ Copy of Declaration and Power of Attorney from a prior application (for continuing and divisionals) ☒ An assignment to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN was filed and recorded in the prior application on April 10, 2000, Reel 010733, Frame 0521 ☒ A certified copy of Japanese Patent Application Nos. 11-122508, filed April 28, 1999; and 11-284260, filed October 05, 1999 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, were filed in prior application No09/546,899. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. ☒ Change of Correspondence Address ☐ INCORPORATION BY REFERENCE - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. ☐ DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) in the prior application. ☐ Information Disclosure Statement, Form PTO 1449, and cited reference(s). ☑ Preliminary Amendment ☒ General authorization/request to Petition for Extensions of Time ☒ Return Postcard								
FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE	
BASIC FEE TOTAL CLAIMS INDEP CLAIMS	6-20=20 1-3= 3	-0- -0-	X 9 X 40 X+ 130	\$370.00 \$ \$ \$	OR OR OR OR	X 18 X 80 + 260	\$ 740.00 \$ -0- \$ -0- \$	

☐ Please charge my Deposit Account No. 50-1353 the amount of \$ A duplicate copy of this sheet								
is enclosed.								
☑ Credit Card Payment Form in the amount of \$740.00 to cover the filing fee is enclosed								

\_\_\_ covering the Assignment Recordation Fee is enclosed. ☐ Credit Card Payment Form for \$\_\_\_\_ In the Commissioner is hereby authorized to charge payment of the following fees associated with this

communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

X+ 130

TOTAL =

Any additional filing fees required under 37 CFR 1.16.

🗷 Any patent application processing fees under 37 CFR 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

 $\hfill\square$  Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

Joseph R. Keating Registration No. 37,368

**KEATING & BENNETT LLP** 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

Docket No.: 36856.599

## N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shigeto TAGA

SURFACE ACOUSTIC WAVE DEVICE HAVING BUMP ELECTRODES

New Divisional Application of Parent Serial No. 09/546,899

Filing Date: December 27, 2001

## CERTIFICATE OF HAND DELIVERY

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Date of Deposit: December 27, 2001

I hereby certify that an application for patent, including:

15 pages of Specification (which includes 20 claims and a one-page Abstract); 5 Sheets of formal Drawings; a copy of the executed Combined Declaration and Power of Attorney from prior application 09/546,899; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$740.00 to cover the filing fee to cover the filing fee; a Preliminary Amendment; Transmittal Letter; General Authorization/Request to Petition for Extensions of Time; and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: December 27, 2001

Joseph R. Keating